



2011 REPORT TO THE REGION

THE DOROTHY
RIDER POOL
HEALTH CARE TRUST

2011 REPORT TO THE REGION – REPORT OF THE CHAIRMAN AND EXECUTIVE DIRECTOR

Dr. Ed Stemmler, a colleague and former Pool Trustee, once remarked that success in health philanthropy is measured in decades. In 2011, the Pool Trust had the opportunity to measure success and celebrate the tenure of two of the major leaders in the evolution of the Trust: Carol M. McCarthy, PhD, JD, and Lawrence P. Levitt, MD.

Dr. McCarthy served the Trust for 16 years until her planned retirement in October. During her tenure, Carol was instrumental in awarding 297 grants totaling \$83 million in fulfillment of the Trust's mission.

Under Carol's leadership, two major themes of the Trust's work evolved. First, that the Trust work strategically with Lehigh Valley Health Network (LVHN) on broad themes focused on leadership development, measurement, leverage, sustainability, and improvement of health status. Second, that the evolution of the strategic alignment with LVHN would increasingly focus on the broader determinants of health of the region.

Thank you, Carol, for all you have done to advance the mission of the Trust.

The first board meeting of the Dorothy Rider Pool Health Care Trust was held on January 15, 1976, at the headquarters of Mr. Pool's company, Air Products and Chemicals, Inc. Dr. Larry Levitt was in attendance as a named Trustee. The board meetings he was unable to attend over the next 35 years can be counted on one hand – and all were excused.

Larry and his fellow founding Trustees had a tremendous responsibility and were faced with numerous challenges: to live up to the charge given them by Leonard Pool; to establish the Trust from scratch; and to support the hospital, the named beneficiary, which, at the time, was fragile and struggling in a tumultuous environment.

They had \$15 million and not much of a blueprint.

If we fast-forward to today, we know these founding Trustees succeeded:

1. LVHN is a superior regional hospital, an academic community hospital with superb leadership. Our relationship with our strategic partner has never been better.
2. There has been strategic, meaningful, and lasting impact in numerous arenas: trauma, hospice, fluoridation of the municipal water supply, medical education, health services research, prevention, and community health, to name but a few.
3. Currently, the Trust is valued at \$72 million. During Dr. Levitt's tenure, the Trust awarded 732 grants totaling \$115 million.

In my work over the years with Dr. Levitt, I have witnessed his enthusiasm and tenacity, his preference for cooperation over competition, his desire for regionalism and collegiality, his genuine care for patients and their families, his great interest in public health, his tremendous advocacy for medical education and research, his unabashed love of Lehigh Valley Health Network, and his tremendous love and admiration for his wife and family.

I came to learn that, in many ways, Larry's qualities were similar to those of our founder and his hero, Leonard Parker Pool.

Dr. Levitt has substantially influenced the culture, trajectory, and success of The Dorothy Rider Pool Health Care Trust and, in turn, the success of Lehigh Valley Health Network and, ultimately, the health of the citizens of the Lehigh Valley. Thank you, Dr. Levitt.

Going forward, the future of the Trust and its partner, LVHN, is very bright. We welcome our two newest Trustees who joined this year: Mary D. Naylor, PhD, FAAN, RN, and Peter M. Leibold, Esq.

Dr. Naylor is the Marian S. Ware Professor in Gerontology and director of the NewCourtland Center for Transitions and Health at the University of Pennsylvania School of Nursing. Dr. Naylor possesses a keen understanding of interdisciplinary quality programs, evidence-based and outcomes research, and health professional education and its future. Her talents will be enormously helpful as we carry out our mission.

Peter Leibold is Executive Vice President and Chief Executive Officer of the American Health Lawyers Association (AHLA). His knowledge of health policy and how it affects the health of the Lehigh Valley will be extremely valuable.

These two outstanding individuals possess all the necessary experience, skills, and attributes required for a member of a philanthropic governing body, and they will continue a long legacy of solid leadership at the Trust.

As per Mr. Pool's wishes, the Trust will expire in 2025. The stage is set; there is a great deal of hard work to do, and the future has never looked brighter.



John P. Jones III
Chairman



Edward F. Meehan
Executive Director

THE DOROTHY RIDER POOL HEALTH CARE TRUST

“During my lifetime I have had an intense interest concerning health care for my fellowman. This interest was first expressed as an active trustee of the Allentown Hospital Association and more recently, as a founder, director, and president of the Allentown and Sacred Heart Hospital Center, Inc. Because of this interest, upon my death, I wish to have the residue of my estate used for the continued provision of health care to the citizens of the Lehigh Valley and others served by the Allentown and Sacred Heart Hospital Center (now Lehigh Valley Health Network).”

Leonard Parker Pool

The Dorothy Rider Pool Health Care Trust came into being upon the death of Leonard Parker Pool on December 27, 1975. Mr. Pool amassed a financial fortune as founder and long-time chief executive of Air Products and Chemicals, Inc. He directed that the Trust be named as a memorial for his first wife, Dorothy Rider Pool, who died of cancer in 1967.

The mission of The Dorothy Rider Pool Health Care Trust is to serve as a resource that enables Lehigh Valley Hospital to be a superior regional hospital and improve the health of the citizens of the region it serves.

While the Trust welcomes all proposals supportive of its mission, areas of program support include:

- Recruitment and retention of outstanding health care providers
- Medical education
- Clinical innovation
- Community health
- Health services research
- Access to care

The Trust operates as a public charitable trust. Led by a small and dedicated staff, the Trust, with the assistance of local, regional and national advisors, addresses the needs of the citizens of the Lehigh Valley and supports programs to meet those needs.



STATEMENT OF FINANCIAL POSITION

December 31, 2011

ASSETS

CURRENT ASSETS

Cash	\$	1,148
Accrued Interest and Dividends Receivable		57,985
Investments		75,198,304
Accounts Receivable		76
Prepaid Expenses		35,892
Furniture and Equipment, net		<u>7,823</u>

\$75,301,228

LIABILITIES

CURRENT LIABILITIES

Accrued Expenses and Other Liabilities	\$	37,644
Grants Payable		<u>5,157,021</u>

Total Current Liabilities 5,194,665

LONG-TERM LIABILITY

Grants Payable	\$	<u>4,874,603</u>
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Total Liabilities 10,069,268

COMMITMENTS

NET ASSETS

Unrestricted	\$	16,779,556
Temporarily Restricted		<u>48,452,404</u>

Total Net Assets 65,231,960

\$75,301,228

The financial statements for the Trust for the year ended December 31, 2011 have been examined by the accounting firm of EisnerAmper LLP. A detailed financial report, as examined by EisnerAmper LLP, is available at the Trust office upon request.

GRANT AWARDS

LEHIGH VALLEY HEALTH NETWORK

- **Administration**
16th Annual Nite Lites Gala
\$25,000 for one year
- **Department of Community Health, Health Studies and Education**
Third and Final Phase of Infrastructure Development for the Network Office of Research and Innovation (NORI)
\$1,953,700 over 44 months
- **Department of Pediatrics**
Pediatric Palliative Care / Hospice Program Development
\$25,000 for one year
- **Division of Organizational Effectiveness**
Institute for Healthcare Leadership Program
\$247,600 over three years
- **Home Health Services**
Transitional Care Model Feasibility Study
\$50,000 for one year
- **Lehigh Valley Physicians Group**
Crucial Conversations for Physicians
\$56,400 over two years
- **Office of the Chief Medical Officer**
The Dorothy Rider Pool Health Care Trust Research and Development Fund
\$375,000 over 18 months
- **Operations:**
 - *Support for Neighborhood Health Centers (FQHC)*
\$331,000 for one year
 - *Supplemental Funding to Support NHCLV Operations (FQHC)*
\$297,000 for one year
- **Patient Care Services**
Academic Service Partnership with Cedar Crest College and DeSales University
\$59,810 over two years
- **Telehealth Services**
Telehealth in the Neonatal ICU: Connecting Families to their Babies
\$151,890 over two years
- **Timothy Breidegam Endowed Chair in Neurology at Lehigh Valley Health Network**
\$500,000 over five years

THE PAUL BOSANAC RESEARCH AND PUBLICATION AWARD

The Bosanac Award is supported by the Pool Trust to encourage and recognize residents at Lehigh Valley Health Network who engage in scientific investigation and research. The award is dedicated to the memory of the late Paul Bosanac, MD, who was Chief of the Renal Section of Surgery at The Allentown Hospital-Lehigh Valley Hospital Center. Bosanac was also instrumental in establishing the Research and Publication Support Service at Lehigh Valley Hospital Center (now Lehigh Valley Health Network).

The 2011 Bosanac Award was presented to Ryan A. Lawless, MD, a General Surgery PGY3, as the principal author of the paper titled “A 5-year Review of Laparoscopic Cholecystectomy at a Single Institution: A Focus on Common Bile Duct Injury and Education Level of Assisting Resident.”



RYAN A. LAWLESS, MD

Photo courtesy Lehigh Valley Health Network

ADVISORS TO THE TRUST

JEFFREY C. BRENNER, MD

*Director, Institute for Urban Health at Cooper University Hospital
Executive Director, Camden Coalition of Healthcare Providers*

EISNERAMPER LLP (formerly known as Amper, Politziner & Mattia, LLP)

Certified Public Accountants

JOYCE ESSIEN, MD, MBA

*Director, Center for Public Health Practice, Rollins School of Public Health, Emory University
Retired Captain, US Public Health Service Centers for Disease Control and Prevention*

CHRISTOPHER H. GADSDEN, ESQUIRE

*Attorney at Law
Gadsden Schneider & Woodward, LLP*

RAYMOND A. GLENNON

BARRY HALPER

Halper & Associates LLC

LEON C. HOLT, JR.

*Former Vice Chairman
Air Products and Chemicals, Inc.*

JAMES K. LAURIE

DAVID E. LEES, CFA, CAIA

*Senior Partner, Wealth Advisory Services
myCIO Wealth Partners, LLC*

MARY D. NAYLOR, PhD, RN, FAAN

*Marian S. Ware Professor in Gerontology
Director, NewCourtland Center for Transitions and Health
University of Pennsylvania School of Nursing*

MARITA TITLER, PhD, RN, FAAN

*Associate Dean, Office of Practice and Clinical Scholarship
Professor, Rhetaugh Dumas Endowed Chair
University of Michigan School of Nursing
Division Chair, Nursing Business & Health Systems*

MARNI VLIET

Marni Vliet Strategy

POOL TRUST RESPONSIVE GRANTMAKING PROCESS

LETTER OF INTENT (LOI) PROCESS:

- An LOI should be a succinct (5 pages or less) description of your program, and should address the questions listed below.
- The Pool Trust will accept LOIs at any time.
- The Pool Trustees may act on any LOI at any Trust meeting.
- They will typically make decisions regarding LOIs during 2 cycles:
 - Spring (April-May) *LOIs submitted on or before March 1.*
 - Fall (September-October) *LOIs submitted on or before August 1.*

DEVELOPMENT/APPROVAL OF PROPOSAL:

- LOIs that are of interest to the Trustees will be invited for a full proposal. Proposals will provide greater detail of the questions addressed in the LOI.
- The joint (Pool Trust/applicant) effort at proposal development (including outside review for major proposals) will be completed as soon as possible.
- Decisions will be made on proposals at any Trust meeting when a proposal is ready for action.
- For relatively small grants, the Trust's decision may be made on the basis of a well-developed LOI.

PRIORITY AREAS

LOIs/Proposals should fit into one of the Pool Trust/Lehigh Valley Hospital agreed upon priority areas:

- Recruitment and Retention
- Clinical Innovation
- Access to Care
- Medical Education
- Community Health
- Health Studies

All LOIs/Proposals should answer the following questions:

Purpose: What is the specific idea or purpose behind the request?

- Provide a brief (less than 1 page) summary of the purpose of the request, including an itemized list of concrete measurable goals or expected outcomes.
- Describe how the idea matches with one of the above identified priority areas.

Method: How will you achieve your purpose?

- Describe the specific outcome indicators or measurable deliverables that will be a direct result from this proposed program.
- Provide a work plan, including a time schedule and who will be responsible for accomplishing the objectives.

Leadership: Who is the individual that will lead this initiative? Who will work on the program?

- List the qualifications, credentials or experience of each responsible person relative to each objective.

Measurement: How will you know if you are on target to meet/have met your goals?

- Describe the method of evaluating progress and effectiveness.

Budget: How much will this cost?

- Attach a fully defined budget that includes total project costs
- Include a list detailing all (committed and projected) sources of financial support.

Sustainability: If this is not a contained study, how will the program activity be continued after Pool Trust funding ceases?

GRANT HIGHLIGHTS

The Pool Trust provides support to the...

NEIGHBORHOOD HEALTH CENTERS OF THE LEHIGH VALLEY (NHCLV)- FEDERALLY QUALIFIED HEALTH CENTER (FQHC)

Neighborhood Health Centers of the Lehigh Valley (NHCLV) has been functioning as a community health center since June 2009. In November 2010, they received Federally Qualified Health Center (FQHC) Look-Alike designation from the US Department of Health and Human Services and are in the process of applying for full FQHC status. Full FQHC status includes several benefits; the most significant one is the annual support in the amount of \$650,000. These annual funds should ultimately allow NHCLV to be self-sufficient. NHCLV has established itself as the Lehigh Valley's first Federally Qualified Health Center and is filling the patient-centered primary care need of thousands of residents in the poorest sections of the Lehigh Valley.



PEDIATRIC PALLIATIVE CARE / HOSPICE PROGRAM DEVELOPMENT

An independent working group of dedicated professionals from across the Lehigh Valley, including individuals from the four major health systems (Easton Hospital, Lehigh Valley Health Network, Sacred Heart Hospital and Saint Luke's Health Network) has been meeting for almost two years in an attempt to create a pediatric palliative care / hospice program. The Pool Trust has provided resources to facilitate and accelerate the exploration and potential development.



ACADEMIC SERVICE PARTNERSHIP

The Academic Service Partnership will pool the expertise from academia and clinical service providers to build a nursing workforce equipped to lead healthcare innovations. Lehigh Valley Health Network will provide an environment to link the undergraduate and graduate students of Cedar Crest College (CCC) and DeSales University (DSU) to be part of solving clinical issues through the Nursing Evidence-Based Practice/Research Council (NEBP/RC). Faculty members will participate in the NEBP/RC by leading one of the Council's workgroups. Faculty members will lead at least one research project aligned with a LVHN major initiative or identified quality problem. This unique partnership seeks to address some of the recommendations outlined in the recent (2010) Institute of Medicine's (IOM) Future of Nursing Report.



TRUSTEES

JOHN P. JONES III

Retired Chairman and CEO
Air Products and Chemicals, Inc.

PETER M. LEIBOLD, ESQUIRE****

Executive Vice President/Chief Executive Officer
American Health Lawyers Association

LAWRENCE P. LEVITT, MD*

Senior Consultant in Neurology Emeritus
Lehigh Valley Health Network

CAROL M. McCARTHY, PhD, JD**

Attorney at Law
Ober, Kaler, Grimes & Shriver

MARY D. NAYLOR, PhD, RN, FAAN***

Marian S. Ware Professor in Gerontology
Director, NewCourtland Center for Transitions and Health
University of Pennsylvania School of Nursing

JAMES O. WOOLLISCROFT, MD

Dean
University of Michigan Medical School

CORPORATE TRUSTEE

DENISE M. GARGAN, CIMA, MBA

Vice President
PNC Bank, NA

*Retired from the Pool Trust June 2011

** Retired from the Pool Trust October 2011

*** Joined the Pool Trust June 2011

**** Joined the Pool Trust October 2011

POOL TRUST STAFF

EDWARD F. MEEHAN, MPH

Executive Director

RONALD C. DENDAS, MS

Program Officer

JOSEPH J. NAPOLITANO,
PhD, MPH, RN, CRNP

Program Officer

BRIDGET I. RASSLER

Administrative Manager

REGINA M. MARKS

Program Secretary

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Secretary/Receptionist

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